| FORM PTO  |  | IMERCE PATENT AND TRADEMARK OFFICE  | ATTORNEY 'S DOCKET NUMBER                      |  |  |  |  |  |  |  |
|---|--|---|--|--|--|--|--|--|--|--|
| ,   | гransmittal letter   | 39.024-AG   |  |  |  |  |  |  |  |  |
| -   |  | ED OFFICE (DO/EO/US)  | U.S. APPLICATION NO. (If known, see 37 CFR 1.5 |  |  |  |  |  |  |  |
|   |  | IG UNDER 35 U.S.C. 371  | 10/501744                                      |  |  |  |  |  |  |  |
| INTER   | NATIONAL APPLICATION NO.   | INTERNATIONAL FILING DATE   | PRIORITY DATE CLAIMED                          |  |  |  |  |  |  |  |
|   | JP2003/003481  | 03/20/2003  | 10/24/2002                                     |  |  |  |  |  |  |  |
|   | OF INVENTION   | or Production System  |  |  |  |  |  |  |  |  |
| Ceramics Heater for Semiconductor Production System  APPLICANT(S) FOR DO/EO/US  |  |   |  |  |  |  |  |  |  |  |
| Yoshifumi Kachi, Akira Kuibira, and Hirohiko Nakata   |  |   |  |  |  |  |  |  |  |  |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: |  |   |  |  |  |  |  |  |  |  |
| 1.  | 1. This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.  |   |  |  |  |  |  |  |  |  |
| 2. 🔲  | This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.                                      |   |  |  |  |  |  |  |  |  |
| 3.  | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include                            |   |  |  |  |  |  |  |  |  |
| _   | items (5), (6), (9) and (21) indicated<br>The US has been elected (Article 31  | below.  | •  |  |  |  |  |  |  |  |
|   |  |   |  |  |  |  |  |  |  |  |
|   | a. is attached hereto (required only if not communicated by the International Bureau).   |   |  |  |  |  |  |  |  |  |
|   | b.  has been communicated by   | the International Bureau.   |  |  |  |  |  |  |  |  |
|   | c. is not required, as the appli   | ication was filed in the United States Receiving                                    | ng Office (RO/US).                             |  |  |  |  |  |  |  |
| 6. 🔽  | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).   |   |  |  |  |  |  |  |  |  |
|   | a. is attached hereto.   |   |  |  |  |  |  |  |  |  |
|   | b. has been previously submitted under 35 U.S.C. 154(d)(4).  |   |  |  |  |  |  |  |  |  |
| _   | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))   |   |  |  |  |  |  |  |  |  |
|   | a. are attached hereto (required only if not communicated by the International Bureau).  |   |  |  |  |  |  |  |  |  |
|   | b. have been communicated by the International Bureau.   |   |  |  |  |  |  |  |  |  |
|   | c. have not been made; however, the time limit for making such amendments has NOT expired.   |   |  |  |  |  |  |  |  |  |
|   | d. when have not been made and will not be made.   |   |  |  |  |  |  |  |  |  |
| 8.  | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).                                   |   |  |  |  |  |  |  |  |  |
| 9. 🔽  | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).   |   |  |  |  |  |  |  |  |  |
|   | An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). |   |  |  |  |  |  |  |  |  |
|   | is 11 to 20 below concern document   | ta a information included.  |  |  |  |  |  |  |  |  |
| 11. 🗸   |  |   |  |  |  |  |  |  |  |  |
|   | An Information Disclosure Statement under 37 CFR 1.97 and 1.98.  |   |  |  |  |  |  |  |  |  |
| 12.   | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.                              |   |  |  |  |  |  |  |  |  |
| 13.   | A preliminary amendment.   |   |  |  |  |  |  |  |  |  |
| 14.   | An Application Data Sheet under 37 CFR 1.76.   |   |  |  |  |  |  |  |  |  |
| 15. 🔲   | A substitute specification.  |   |  |  |  |  |  |  |  |  |
| 16. 🔲   | A power of attorney and/or change of address letter.   |   |  |  |  |  |  |  |  |  |
| 17. 🔲   | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.                                 |   |  |  |  |  |  |  |  |  |
| 18.   | A second copy of the published into  | A second copy of the published international application under 35 U.S.C. 154(d)(4). |  |  |  |  |  |  |  |  |
| 19. 🗖   | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).                                  |   |  |  |  |  |  |  |  |  |
| 20. 🗖   | Other items or information:  |   |  |  |  |  |  |  |  |  |
|   |  |   |  |  |  |  |  |  |  |  |
| l   |  |   |  |  |  |  |  |  |  |  |

| u.s. appartings.  | rn, see 37 fepr (5)                                       | international application no. PCT/JP2003/003481 |                           |             | ATTORNEY'S DOCKET NUMBER 39.024-AG |              |  |  |  |  |
|---|---|---|---------------------------|-------------|------------------------------------|--------------|--|--|--|--|
|   | ing fees are subn   | CAI   | CALCULATIONS PTO USE ONLY |             |                                    |              |  |  |  |  |
| BASIC NATIONAL  | FEE (37 CFR   |   |                           |             |                                    |              |  |  |  |  |
| Neither internation nor international se and International S  | al preliminary ex<br>arch fee (37 CFI<br>earch Report not |   |                           |             |                                    |              |  |  |  |  |
| International prelin<br>USPTO but Intern  | ninary examinati<br>ational Search R                      |   |                           |             |                                    |              |  |  |  |  |
| International prelin<br>but international se  | ninary examinati<br>arch fee (37 CFI                      |   |                           |             |                                    |              |  |  |  |  |
| International prelin<br>but all claims did n  | ot satisfy provisi  |   |                           |             |                                    |              |  |  |  |  |
| International prelimand all claims satis  | fied provisions o   | <u></u>   |                           | <del></del> |                                    |              |  |  |  |  |
|   |   | LIATE BASIC FEE A                               |                           | \$          | 920.00                             |              |  |  |  |  |
| Surcharge of \$130.0 from the earliest clar   | med priority date   | \$  |                           |             |                                    |              |  |  |  |  |
| CLAIMS  | NUMBER FIL  |   |                           | \$          |                                    |              |  |  |  |  |
| Total claims  |   | 0 = 0   | x \$18.00                 | \$          |                                    |              |  |  |  |  |
| Independent claims  |   | 0   | x \$86.00                 | \$ .<br>\$  |                                    | -            |  |  |  |  |
| MULTIPLE DEPEN  |   | TAL OF ABOVE CA                                 | + \$290.00                | \$          | 920.00                             | <del>-</del> |  |  |  |  |
| Applicant claim are reduced by  | s small entity sta  | \$  | 920.00                    |             |                                    |              |  |  |  |  |
|   |   | \$  |                           |             |                                    |              |  |  |  |  |
| Processing fee of \$1 from the earliest claim   | 30.00 for furnish med priority date                       | \$  |                           |             |                                    |              |  |  |  |  |
|   |   | \$  |                           |             |                                    |              |  |  |  |  |
| Fee for recording the accompanied by an a   | e enclosed assign   | \$  | 40.00                     | 1           |                                    |              |  |  |  |  |
|   | *   | \$  | 960.00                    |             |                                    |              |  |  |  |  |
|   |   |   |                           |             | unt to be<br>refunded:             | \$           |  |  |  |  |
|   |   |   |                           |             | charged:                           | \$           |  |  |  |  |
| a. A check in the amount of \$ to cover the above fees is enclosed.  b. Please charge my Deposit Account No in the amount of \$ to cover the above fees.  A duplicate copy of this sheet is enclosed. |   |   |                           |             |                                    |              |  |  |  |  |
| c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No A duplicate copy of this sheet is enclosed.             |   |   |                           |             |                                    |              |  |  |  |  |
| d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card  |   |   |                           |             |                                    |              |  |  |  |  |
| information should not be included on this form. Provide credit card information and authorization on PTO-2038.   |   |   |                           |             |                                    |              |  |  |  |  |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.     |   |   |                           |             |                                    |              |  |  |  |  |
| SEND ALL CORRESPO   | ONDENCE TO:   | wed   | W. Su                     | dec         |                                    |              |  |  |  |  |
| SIGNATURE   |   |   |                           |             |                                    |              |  |  |  |  |
| Custom = 1  | Judge   | <i></i>   |                           |             |                                    |              |  |  |  |  |
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